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ATTORNEY'S DOCKET NO. T0450/7025//NPF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Robert Lee Thompson

Examiner:

09/965,136

Art Unit:

2621

Filed:

September 27, 2001

For:

System And Method For Obtaining And Utilizing Maintenance Information

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Assistant Commissioner for Patents, Washington, D.C. 20231,

on November 8, 2001.

Rita A. LeBlanc

Commissioner for Patents Washington, D.C. 20231

Sir:

Transmitted herewith is/are the following document(s):

[X] Missing Parts Transmittal

[X] Statement of Small Entity

[X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$420.00 is enclosed to cover the basic filing fee and decelaration surcharge fee as set forth in 37 CFR 1.16(I). If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825.

Respectfully submitted,

Robert Lee Thompson, Applicant

Neil P. Ferraro, Reg. No. 39,188

Attorney for Applicant

WOLF, GREENFIELD & SACKS, P.C. 600 Atlantic Avenue, Boston, MA 02210

(617) 720-3500

Attorney's Docket No. T0450/7025

Date: November 8, 2001

X12/29/01







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Commissioner for Patents Washington, D.C. 20231

Statement of Small Entity

Applicant claims small entity status pursuant to 37 CFR 1.27.

No fee is believed to be due at this time. If any fee is due it may be charged to the account of the undersigned, Deposit Account No. 23/2825.

Respectfully submitted.

Robert Lee Thompson, Applicant

Neil P. Ferraro, Reg. No. 39,188

Attorney for Applicant

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United States Patent and Trademark Office

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FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER APPLICATION NUMBER 09/965,136 09/27/2001

Robert Lee Thompson

T00450/70025/NPF

CONFIRMATION NO. 1815

23628 WOLF GREENFIELD & SACKS, PC FEDERAL RESERVE PLAZA **600 ATLANTIC AVENUE**

BOSTON, MA 02210-2211

FORMALITIES LETTER

OC000000006989670

Date Mailed: 10/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheats do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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370.00 OP 01 FC:201 02 FC:205



A copy of this notice <u>MUST</u> be returned with the reply.

H.T

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE